

15TH ANNUAL

PRELIMINARY PROGRAM

Foundation

LEADERSHIP FORUM

HYATT REGENCY COCONUT POINT RESORT AND SPA | BONITA SPRINGS, FL



AGB ASSOCIATION OF
GOVERNING BOARDS
OF UNIVERSITIES AND COLLEGES

1921-2011 | CELEBRATING 90 YEARS OF SERVICE

JANUARY 23-26, 2011

Forum Schedule (subject to change)

January
23

2:30 – 4:30 p.m.

Board Chair Bootcamp

An Orientation for New Board Chairs and Vice Chairs

This pre-conference event is free for registered forum attendees.

Advanced sign-up is required.

5:00 – 6:30 p.m.

Opening Keynote Speaker: John Casteen

Providing a Margin of Excellence and More

In his 20 years as president of the University of Virginia, John Casteen has distinguished himself as one America's most visionary leaders in public higher education. UVA consistently ranks among the very best public institutions. During Casteen's tenure the institution's endowment grew from half a million to \$4.45 billion, exemplary programs ensuring access for low-income students and promoting diversity have been established, and the institution has led the way in forging a new financial compact with the state. In establishing UVA's "margin of excellence," President Casteen has engaged the support of 25 affiliated foundations and their boards.

6:30 – 8:30 p.m.

Opening Reception

SUNDAY

January
24

7:00 a.m.

Breakfast

8:00 – 9:30 a.m.

Opening Plenary Facilitator: Verne Sedlacek

Post-crisis Approaches to Investment Policy and Management

Verne Sedlacek, President and CEO of Commonfund, leads a discussion of the ways college and university boards are adapting their asset allocation models and other components of their investment policies to better manage risk and reflect changing economic expectations.

9:45 – 11:00 a.m.

Concurrent Sessions

11:15 a.m. – 12:30 p.m.

Plenary Session Panelist: Paul Schervish

Surveying the Philanthropic Landscape: New Research on Donors, Wealth, and the work of Foundation Boards

Changing donor demographics, new approaches to giving, and increasingly cautious economic expectations may require foundations to develop long-term strategies to sustain and grow their fundraising capacity. Drawing on new research on high net worth households, intergenerational wealth transfer, and the role foundation board members play in fundraising, this panel explores ways foundations can prepare to navigate a changing philanthropic landscape.

12:30 – 1:45 p.m.

Luncheon

2:00 – 3:15 p.m.

Peer-to-Peer Discussions for Foundation Chief Executives and Staff

MONDAY

January
24

2:00 – 3:15 p.m.

Peer-to-Peer Discussions for Foundation Board Members

3:30 – 5:00 p.m.

Plenary Session Facilitator: Terrence MacTaggart

The Board Chair-Chief Executive Partnership

What is the secret to a strong, effective relationship between board chair and chief executive? Terry MacTaggart, AGB Senior Fellow and former Chancellor of the University of Maine System facilitates a discussion among teams of foundation board leaders and chief executives on how they have forged an effective partnership and the ways it has enhanced the work of their boards and organizations.

5:30 – 7:00 p.m.

Cocktail Reception

MONDAY
CONTINUED

January
25

7:00 – 8:00 a.m.

Breakfast

8:00 – 9:15 a.m.

Concurrent Sessions

9:30 – 10:45 a.m.

Plenary Session

2011 Economic Outlook: Implications for Institutional Investors

Economic factors are influencing the full range of work done by foundation boards. In this session, leading industry experts share their perspectives on the global economy and its implications for investment and financial decision making.

11:00 a.m. – 12:15 p.m.

Concurrent Sessions

12:30 – 1:45 p.m.

Luncheon

2:00 – 3:15 p.m.

Peer-to-Peer Discussions for Foundation Chief Executives and Staff

2:00 – 3:15 p.m.

Peer-to-Peer Discussions for Foundation Board Members

3:30 – 5:00 p.m.

Closing Plenary

Making the Case for Public Higher Education

Foundation board members are increasingly being called upon to serve as advocates for their institutions and for the larger cause of public higher education. We conclude our conference with a discussion about the ways policy makers and the media perceive public higher education, changing patterns of support, and the role foundation board members can play as advocates for their institution and public higher education at large.

TUESDAY

7:30 – 8:30 a.m. (open to all conference participants)

Breakfast Town Hall Discussion

How Can AGB Best Support the Work of Your Board in the Coming Year?

9:00 a.m. – 12:00 p.m. (additional registration required)

Optional Workshops

WORKSHOP I

Enterprise Risk Management for Foundations

Growth in the scope and complexity of foundation operations and assets and heightened standards of accountability have prompted many foundation boards to ask how they can systematically assess and manage risk. Forward looking foundations have begun developing enterprise risk management processes designed to identify, prioritize, and mitigate risk, while minimizing the proliferation of policies and controls that may inadvertently distract foundation leaders from their fundamental mission of raising and managing support for their institutions. In this workshop foundation board members and staff can learn how to develop an enterprise risk management program adapted to the specific needs of their organization.

WORKSHOP II

Board Self Assessment and Leadership Capacity Building

Structured feedback is essential for both individual and organizational learning and improvement. A structured process of self-assessment and goal setting can help boards improve their performance, enhance participation and engagement among board members, and help foundations achieve their strategic priorities and better serve their affiliated institutions. Leading experts in higher education governance discuss the fundamentals of board self assessment and ways foundation boards can identify and address the key factors contributing or undermining their effectiveness.

WORKSHOP III

The Foundation Board's Role in Fundraising

Foundation board members play a vital role in fundraising, but organizational structures, expectations of volunteer leaders, and other institutional context vary enormously. Drawing on new AGB research, this workshop provides practical insights on role of volunteer leaders in fundraising while providing board members and foundation chief executives with the opportunity to learn about long-standing and emerging best practice in campaign planning and leadership, major gift cultivation and solicitation, and the development of planned giving programs.

WORKSHOP IV

Preparing Your Foundation to Play an Active Role in Real Estate, Entrepreneurial Ventures, and Other Strategic Initiatives

As a result of ongoing state budget shortfalls, foundations are increasingly being called upon to help institutions acquire and develop real estate, support the commercialization of faculty research, and play a more active role in a range of other entrepreneurial and strategic initiatives. This workshop provides foundation staff and board members with an opportunity to learn ways foundations can support the strategic initiatives of their institution through debt financing, real estate management, and other entrepreneurial activities while ensuring that they don't put foundation assets at risk or compromise their ability to fulfill their core missions.

12:00 p.m.

Workshops adjourn

Concurrent Sessions

- The Fundamental Roles and Responsibilities of Foundation Boards
- Planning for Staff Leadership Transitions
- Effective Investment Committees
- Changing Approaches to Endowment Spending
- The Evolving Role of Alternatives
- Benchmarking Advancement Programs
- Flexible Approaches to Gift Agreements
- Financial Models for Growing Foundations

Peer-to-peer sessions for board members are focused around issues central to the work of Investment Committees, Finance and Audit Committees, Development Committees, and Governance Committees.

Peer-to-peer sessions for foundation executives provide opportunities for discussion among foundations of like size and on topics of shared interest.

We welcome your suggestions for additional topics for concurrent and peer-to-peer sessions. Please email DavidB@agb.org

Additional travel and cancellation policy information:

Registration Confirmation and Questions:

A confirmation message will be emailed to each attendee once payment has been processed. If you do not receive a confirmation or have any other concerns, please contact Jessica Oplak at (202) 776-0840 or e-mail registrar@agb.org.

Cancellation Policy:

All cancellations and requests for refunds must be submitted in writing. AGB will issue a full refund if written notification of cancellation is postmarked by December 22, 2010. After that date, AGB is unable to issue any refunds. If you are unable to attend, a substitute is welcome in your place at no additional charge. AGB will issue refunds after the conference. AGB is not responsible for any cancellation or change charges assessed by airlines, travel agents, hotels, golf courses, or other businesses in conjunction with attendee travel. Please refer all registration questions to Jessica Oplak at (202) 776-0840 or e-mail registrar@agb.org.

Travel and Lodging Information:

Conference attendees qualify for a special discounted rate of \$279 per night (not including 11% local tax), single or double occupancy. The deadline for the discounted rate is December 22, 2010. A limited number of rooms are available at this rate, so please make your reservations as soon as possible. The Hyatt Regency Coconut Point Resort & Spa charges a required resort fee of \$10 per day, which includes: Golf bag storage, local telephone calls, 800/888 telephone surcharges, incoming faxes, DVD rental, complimentary self-parking, resort trolley, beach ferry, exercise facilities and high speed internet access.

Reservations can be made by calling the hotel directly at (239) 390-4402 or visit www.agb.org/forum for a link to the special reservation site.

Airport Transportation:

The SW Florida International Airport in Fort Myers is the closest airport (13 miles) to the Hyatt Regency Coconut Point Resort & Spa. Taxis are available outside the terminal; approximate fare is \$35. For complete travel information, visit www.agb.org/forum.

Registration Form

Registration also available online: www.agb.org/forum

REGISTRATION INFORMATION

Name

(to appear on your badge—25 character limit)

Title

(president, trustee, board chair, etc.—30 character limit)

Foundation Staff Board Member

Foundation Name

Address Business Home

Street Suite/Room

City State Zip

Daytime Phone Fax

E-mail

Value of Foundation Assets Under Management

<\$50 million \$50-150 million \$150-500 million >\$500 million
 I am a first-time attendee. I will attend the Board Chair Bootcamp, January 23, 2:30-4:30 p.m. (no additional fee; for board chairs only)

I am a board member and serve in the following capacities:

Board chair Chair elect
 Investment committee member Finance/budget committee member
 Audit committee member Committee on directors/governance committee member
 Development committee member

I require special accommodations to participate or have special dietary requirements. (Please list here):

MAILING INFORMATION

Mail or fax your completed registration form to: AGB 15th Annual Foundation Leadership Forum
1133 20th Street, N.W. Suite 300
Washington, D.C. 20036
Fax: (202) 223-7053 or (202) 775-8790
Registrar@agb.org
Tel: (202) 776-0840

If you are faxing this form, do not mail the original. Faxed registration forms without credit card or purchase order information will not be processed.

There will be no refunds after December 22, 2010. For our complete refund policy, visit www.agb.org/forum.

PAYMENT INFORMATION

My check or purchase order payable to AGB in U.S. funds is enclosed.

Purchase Order Number

Please charge my MasterCard VISA American Express

Card Number Expiration Date

Name on Card Signature

PLEASE NOTE: A check payable to AGB or a purchase order and signature must accompany your registration form. To receive the group discount, all registrations must be sent together.

REGISTRATION RATES

- Member rate for AGB foundation members. (The foundation board must be an AGB member even if the institution's governing board is a member.)
\$815 Early-bird rate per participant (before 11/19/10) \$ _____
\$890 per participant (after 11/19/10) \$ _____
- Group rate for AGB foundation members. (Three or more participants from one member foundation must register at one time. Please register one participant per form. Photocopy form to register additional individuals.)
\$765 Early-bird rate per participant (before 11/19/10) \$ _____
\$840 per participant (after 11/19/10) \$ _____
- Nonmember rate. (Group discounts are not available for nonmembers.)
\$1,100 Early-bird rate per participant (before 11/19/10) \$ _____
\$1,190 per participant (before 11/19/10) \$ _____
- Yes, my foundation wants to join AGB. Please contact me.

OPTIONAL EVENTS

For complete descriptions, visit www.agb.org/forum

- Workshop I: Enterprise Risk Management for Foundations
Wednesday, January 26, 2010, 7:30 a.m. – 12:00 p.m.
\$175 per participant \$ _____
- Workshop II: Board Self Assessment and Leadership Capacity Building
Wednesday, January 26, 2010, 7:30 a.m. – 12:00 p.m.
\$175 per participant \$ _____
- Workshop III: The Foundation Board's Role in Fundraising
Wednesday, January 26, 2010, 7:30 a.m. – 12:00 p.m.
\$175 per participant \$ _____
- Workshop IV: Preparing Your Foundation to Play an Active Role in Real Estate, Entrepreneurial Ventures, and Other Strategic Initiatives
Wednesday, January 26, 2010, 7:30 a.m. – 12:00 p.m.
\$175 per participant \$ _____

GUESTS

Guest's Name

Guests are welcome to attend the following events:

Sunday Reception at \$100 per guest \$ _____
Monday Reception at \$45 per guest \$ _____

TOTAL REGISTRATION FEES \$ _____

2011 Foundation Leadership Forum

JANUARY 23, 2011 - JANUARY 26, 2011

Hyatt Regency Coconut Point Resort & Spa, Bonita Springs, Florida

Regardless of whether there is a “new normal” for public higher education, college and university foundations are clearly operating in a changed and changing environment.

We look forward to seeing you at the 15th annual AGB Foundation Leadership Forum.

The Forum is the only national conference that brings together foundation board members and presidents and provides a unique opportunity for sustained discussion with a distinguished faculty of industry leaders and experts.

Our program this year explores the ways foundation boards are adapting their practices and preparing their organizations to fulfill missions that are becoming increasingly complex and more essential than ever. Our program opens with insights from one America’s most distinguished and innovative public higher education leaders. Other plenary sessions examine changing approaches to investment policy and allocation, the implications of changing donor and wealth demographics, ways chief executives and board leaders can forge strong effective partnerships, and the role foundation boards play as advocates for public higher education. Concurrent sessions and post-conference workshops provide the opportunity to learn from colleagues and industry experts about evolving best practice in a wide range of board responsibilities.

NEW THIS YEAR Given the increasing importance and difficulty of endowment management and related governance and investment issues, we will now incorporate these topics throughout the main Forum program rather than in a separate half-day Endowment Management seminar. We will also be conducting a special orientation session for incoming board chairs and vice chairs.

The Association of Governing Boards of Universities and Colleges offers our sincere thanks and appreciation to the following sponsors. With their generous support, we are able to enrich the 15th annual Foundation Leadership Forum.

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EARLY-BIRD REGISTRATION
DEADLINE IS NOVEMBER 19!

Registration form enclosed and
online at www.agb.org/forum